

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.

COL Financial Group, Inc. COL

PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

Report Type

Monthly
Quarterly
Others

| | |
|-------------|--------------|
| Report Date | Mar 31, 2020 |
|-------------|--------------|

Computation of Public Ownership

| | |
|--|-------------|
| Number of Issued and Outstanding Common Shares | 476,000,000 |
| Less: Number of Treasury Common Shares, if any | 0 |
| Number of Outstanding Common Shares | 476,000,000 |

Less :

A. Directors

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------|------------|------------|--------------------------------|-------------------------------|
| Edward K. Lee | 92,950,300 | 10,260,700 | 103,211,000 | 21.68 |

| | | | | |
|-------------------------|-------------|------------|-------------|-------|
| Alexander C. Yu | 55,010,725 | 11,876,600 | 66,887,325 | 14.05 |
| Conrado F. Bate | 16,394,059 | 4,311,900 | 20,705,959 | 4.35 |
| Hernan G. Lim | 13,533,785 | 3,988,800 | 17,522,585 | 3.68 |
| Raymond C. Yu | 13,670,540 | 8,653,400 | 22,323,940 | 4.69 |
| Wellington Yu | 10,000 | 0 | 10,000 | 0 |
| Kido Hokushin | 1 | 0 | 1 | 0 |
| Khoo Boo Boon | 1,000 | 0 | 1,000 | 0 |
| Paulwell Han | 37,599,975 | 0 | 37,599,975 | 7.9 |
| Arthur Gerard D. Gindap | 100 | 0 | 100 | 0 |
| Catherine L. Ong | 4,442,800 | 5,800,000 | 10,242,800 | 2.15 |
| | 233,613,285 | 44,891,400 | 278,504,685 | 58.5 |

B. Officers

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------------------|-----------|-----------|--------------------------------|-------------------------------|
| Juan G. Barredo | 1,100,050 | 0 | 1,100,050 | 0.23 |
| Nikos J. Bautista | 1,160,200 | 0 | 1,160,200 | 0.24 |
| Lorena E. Velarde | 650,000 | 0 | 650,000 | 0.14 |
| April Lynn L. Tan | 1,708,000 | 0 | 1,708,000 | 0.36 |
| Melissa O. Ng | 258,750 | 7,000 | 265,750 | 0.06 |
| Sharon T. Lim | 70,750 | 8,500 | 79,250 | 0.02 |
| Edmund Daniel P. Martinez | 3,000 | 0 | 3,000 | 0 |
| Gabriel Jose E. Mendiola | 210,000 | 4,122,500 | 4,332,500 | 0.91 |
| Joyce C. Chan | 0 | 402,500 | 402,500 | 0.08 |
| Stephanie Faye B. Reyes | 0 | 0 | 0 | 0 |
| | 5,160,750 | 4,540,500 | 9,701,250 | 2.04 |

C. Principal/Substantial Stockholders

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------------------------------|------------|----------|--------------------------------|-------------------------------|
| Daiwa Securities Group, Inc. | 70,924,000 | 0 | 70,924,000 | 14.9 |
| | 70,924,000 | 0 | 70,924,000 | 14.9 |

D. Affiliates

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| -- | - | - | - | - |
| | 0 | 0 | 0 | 0 |

E. Government

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

F. Banks

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |

| | | | | |
|--|---|---|---|---|
| | 0 | 0 | 0 | 0 |
|--|---|---|---|---|

G. Employees

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

H. Lock-Up Shares

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

I. Others

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

| | |
|---|-------------|
| Number of Listed Common Shares | 476,000,000 |
| Total Number of Non-Public Shares | 359,129,935 |
| Total Number of Shares Owned by the Public | 116,870,065 |
| Public Ownership Percentage | 24.55 |

| Other Relevant Information |
|----------------------------|
| NONE |

Filed on behalf by:

| | |
|--------------------|--------------------|
| Name | Nathalie Garcia |
| Designation | Compliance Officer |

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.

COL Financial Group, Inc. COL

PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

Report Type

Monthly
Quarterly
Others

| | |
|-------------|--------------|
| Report Date | Jun 30, 2020 |
|-------------|--------------|

Computation of Public Ownership

| | |
|--|-------------|
| Number of Issued and Outstanding Common Shares | 476,000,000 |
| Less: Number of Treasury Common Shares, if any | - |
| Number of Outstanding Common Shares | 476,000,000 |

Less :

A. Directors

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------|------------|-----------|--------------------------------|-------------------------------|
| Edward K. Lee | 92,951,300 | 9,918,100 | 102,869,400 | 21.61 |

| | | | | |
|-------------------------|-------------|------------|-------------|-------|
| Alexander C. Yu | 55,010,725 | 11,878,600 | 66,889,325 | 14.05 |
| Conrado F. Bate | 16,394,059 | 4,311,900 | 20,705,959 | 4.35 |
| Hernan G. Lim | 13,537,385 | 3,988,800 | 17,526,185 | 3.68 |
| Raymond C. Yu | 13,670,540 | 8,653,400 | 22,323,940 | 4.69 |
| Wellington Yu | 10,000 | 0 | 10,000 | 0 |
| Kido Hokushin | 1 | 0 | 1 | 0 |
| Khoo Boo Boon | 1,000 | 0 | 1,000 | 0 |
| Paulwell Han | 37,599,975 | 0 | 37,599,975 | 7.9 |
| Arthur Gerard D. Gindap | 100 | 0 | 100 | 0 |
| Catherine L. Ong | 4,442,800 | 5,800,000 | 10,242,800 | 2.15 |
| | 233,617,885 | 44,550,800 | 278,168,685 | 58.43 |

B. Officers

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------------------|-----------|-----------|--------------------------------|-------------------------------|
| Juan G. Barredo | 1,100,050 | 0 | 1,100,050 | 0.23 |
| Nikos J. Bautista | 1,160,200 | 0 | 1,160,200 | 0.24 |
| Lorena E. Velarde | 650,000 | 0 | 650,000 | 0.14 |
| April Lynn L. Tan | 1,708,000 | 0 | 1,708,000 | 0.36 |
| Melissa O. Ng | 258,750 | 7,000 | 265,750 | 0.06 |
| Sharon T. Lim | 70,750 | 8,500 | 79,250 | 0.02 |
| Edmund Daniel P. Martinez | 3,000 | 0 | 3,000 | 0 |
| Gabriel Jose E. Mendiola | 210,000 | 4,122,500 | 4,332,500 | 0.91 |
| Joyce C. Chan | 0 | 402,500 | 402,500 | 0.08 |
| Stephanie Faye B. Reyes | 0 | 0 | 0 | 0 |
| | 5,160,750 | 4,540,500 | 9,701,250 | 2.04 |

C. Principal/Substantial Stockholders

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------------------------------|------------|----------|--------------------------------|-------------------------------|
| Daiwa Securities Group, Inc. | 70,924,000 | 0 | 70,924,000 | 14.9 |
| | 70,924,000 | 0 | 70,924,000 | 14.9 |

D. Affiliates

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

E. Government

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

F. Banks

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |

| | | | | |
|--|---|---|---|---|
| | 0 | 0 | 0 | 0 |
|--|---|---|---|---|

G. Employees

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

H. Lock-Up Shares

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

I. Others

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

| | |
|---|-------------|
| Number of Listed Common Shares | 476,000,000 |
| Total Number of Non-Public Shares | 358,793,935 |
| Total Number of Shares Owned by the Public | 117,206,065 |
| Public Ownership Percentage | 24.62% |

| Other Relevant Information |
|----------------------------|
| NONE |

Filed on behalf by:

| | |
|--------------------|--------------------|
| Name | Nathalie Garcia |
| Designation | Compliance Officer |

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.

COL Financial Group, Inc. COL

PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

Report Type

Monthly
Quarterly
Others

| | |
|-------------|--------------|
| Report Date | Sep 30, 2020 |
|-------------|--------------|

Computation of Public Ownership

| | |
|--|-------------|
| Number of Issued and Outstanding Common Shares | 476,000,000 |
| Less: Number of Treasury Common Shares, if any | - |
| Number of Outstanding Common Shares | 476,000,000 |

Less :

A. Directors

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------|------------|------------|--------------------------------|-------------------------------|
| Edward K. Lee | 93,006,300 | 10,018,300 | 103,024,600 | 21.64 |

| | | | | |
|-------------------------|-------------|------------|-------------|-------|
| Alexander C. Yu | 55,010,725 | 11,881,100 | 66,891,825 | 14.05 |
| Conrado F. Bate | 16,394,059 | 4,311,900 | 20,705,959 | 4.35 |
| Hernan G. Lim | 13,537,385 | 3,988,800 | 17,526,185 | 3.68 |
| Raymond C. Yu | 13,670,540 | 8,653,400 | 22,323,940 | 4.69 |
| Wellington Yu | 10,000 | 0 | 10,000 | 0 |
| Kido Hokushin | 1 | 0 | 1 | 0 |
| Khoo Boo Boon | 1,000 | 0 | 1,000 | 0 |
| Paulwell Han | 37,670,075 | 0 | 37,670,075 | 7.91 |
| Arthur Gerard D. Gindap | 100 | 0 | 100 | 0 |
| Catherine L. Ong | 4,442,800 | 5,800,000 | 10,242,800 | 2.15 |
| | 233,742,985 | 44,653,500 | 278,396,485 | 58.47 |

B. Officers

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------------------|-----------|-----------|--------------------------------|-------------------------------|
| Juan G. Barredo | 1,100,050 | 0 | 1,100,050 | 0.23 |
| Nikos J. Bautista | 1,160,200 | 0 | 1,160,200 | 0.24 |
| Lorena E. Velarde | 650,000 | 0 | 650,000 | 0.14 |
| April Lynn L. Tan | 1,708,000 | 0 | 1,708,000 | 0.36 |
| Melissa O. Ng | 258,750 | 7,000 | 265,750 | 0.06 |
| Sharon T. Lim | 70,750 | 8,500 | 79,250 | 0.02 |
| Edmund Daniel P. Martinez | 7,000 | 0 | 7,000 | 0 |
| Gabriel Jose E. Mendiola | 210,000 | 4,122,500 | 4,332,500 | 0.91 |
| Joyce C. Chan | 0 | 402,500 | 402,500 | 0.08 |
| Stephanie Faye B. Reyes | 0 | 0 | 0 | 0 |
| | 5,164,750 | 4,540,500 | 9,705,250 | 2.04 |

C. Principal/Substantial Stockholders

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------------------------------|------------|----------|--------------------------------|-------------------------------|
| Daiwa Securities Group, Inc. | 70,924,000 | 0 | 70,924,000 | 14.9 |
| | 70,924,000 | 0 | 70,924,000 | 14.9 |

D. Affiliates

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

E. Government

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

F. Banks

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |

| | | | | |
|--|---|---|---|---|
| | 0 | 0 | 0 | 0 |
|--|---|---|---|---|

G. Employees

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

H. Lock-Up Shares

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

I. Others

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

| | |
|---|-------------|
| Number of Listed Common Shares | 476,000,000 |
| Total Number of Non-Public Shares | 359,025,735 |
| Total Number of Shares Owned by the Public | 116,974,265 |
| Public Ownership Percentage | 24.57% |

| Other Relevant Information |
|----------------------------|
| NONE |

Filed on behalf by:

| | |
|--------------------|--------------------|
| Name | Nathalie Garcia |
| Designation | Compliance Officer |

The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.

COL Financial Group, Inc. COL

PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

Report Type

Monthly
Quarterly
Others

| | |
|-------------|--------------|
| Report Date | Dec 31, 2020 |
|-------------|--------------|

Computation of Public Ownership

| | |
|--|-------------|
| Number of Issued and Outstanding Common Shares | 476,000,000 |
| Less: Number of Treasury Common Shares, if any | - |
| Number of Outstanding Common Shares | 476,000,000 |

Less :

A. Directors

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|---------------|------------|------------|--------------------------------|-------------------------------|
| Edward K. Lee | 93,006,300 | 10,048,300 | 103,054,600 | 21.65 |

| | | | | |
|-------------------------|-------------|------------|-------------|-------|
| Alexander C. Yu | 54,489,525 | 12,416,300 | 66,905,825 | 14.06 |
| Conrado F. Bate | 16,394,059 | 4,311,900 | 20,705,959 | 4.35 |
| Hernan G. Lim | 13,537,385 | 3,988,800 | 17,526,185 | 3.68 |
| Raymond C. Yu | 13,670,540 | 8,653,400 | 22,323,940 | 4.69 |
| Wellington Yu | 10,000 | - | 10,000 | - |
| Kido Hokushin | 1 | - | 1 | - |
| Paulwell Han | 39,117,775 | - | 39,117,775 | 8.22 |
| Arthur Gerard D. Gindap | 100 | - | 100 | - |
| Catherine L. Ong | 4,442,800 | 5,800,000 | 10,242,800 | 2.15 |
| | 234,668,485 | 45,218,700 | 279,887,185 | 58.8 |

B. Officers

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|--------------------------|-----------|-----------|--------------------------------|-------------------------------|
| Juan G. Barredo | 1,100,050 | - | 1,100,050 | 0.23 |
| Nikos J. Bautista | 1,160,200 | - | 1,160,200 | 0.24 |
| Lorena E. Velarde | 650,000 | - | 650,000 | 0.14 |
| April Lynn L. Tan | 1,708,000 | - | 1,708,000 | 0.36 |
| Melissa O. Ng | 258,750 | 7,000 | 265,750 | 0.06 |
| Sharon T. Lim | 70,750 | 8,500 | 79,250 | 0.02 |
| Gabriel Jose E. Mendiola | 210,000 | 4,122,500 | 4,332,500 | 0.91 |
| Joyce G. Chan | - | 402,500 | 402,500 | 0.08 |
| Stephanie Faye B. Reyes | - | - | - | - |
| | 5,157,750 | 4,540,500 | 9,698,250 | 2.04 |

C. Principal/Substantial Stockholders

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------------------------------|------------|----------|--------------------------------|-------------------------------|
| Daiwa Securities Group, Inc. | 70,924,000 | - | 70,924,000 | 14.9 |
| | 70,924,000 | 0 | 70,924,000 | 14.9 |

D. Affiliates

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

E. Government

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

F. Banks

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

G. Employees

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

H. Lock-Up Shares

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

I. Others

| Name | Direct | Indirect | Total direct & indirect shares | % to Total Outstanding Shares |
|------|--------|----------|--------------------------------|-------------------------------|
| - | - | - | - | - |
| | 0 | 0 | 0 | 0 |

| | |
|---|-------------|
| Number of Listed Common Shares | 476,000,000 |
| Total Number of Non-Public Shares | 360,509,435 |
| Total Number of Shares Owned by the Public | 115,490,565 |
| Public Ownership Percentage | 24.26 |

| Other Relevant Information |
|----------------------------|
| None |

Filed on behalf by:

| | |
|--------------------|--|
| Name | Sharon Lim |
| Designation | Corporate Secretary/Compliance Officer |